

Accounts Certificate

I hereby certify that during the whole of the last accounting period starting on the _____ (insert date) _____ and ending on _____ (insert date) _____ no client money was at any time held by _____ (insert the name of the regulated entity) _____.

This Accounts Certificate is produced in compliance with rule 10.2 of the CILEx Accounts Rules and is provided to CILEx Regulation under rule 10.1 in place of an Accountant's Report for the same accounting period.

Signed by: _____ Compliance Manager _____
Print Name: _____ Print the name of the Compliance Manager _____
Date: _____ (insert the date on which the certificate was signed) _____

The Compliance Manager of the Authorised Entity is responsible for practice management and accounts management and for this reason where an Accounts Certificate is to be provided to CILEx Regulation in place of an Accountant's Report it must be signed by the Compliance Manager. If it is not possible for the Compliance Manager to sign the Accounts Certificate, the reason why must be communicated to CILEx Regulation. CILEX Regulation must grant approval in writing before an Accounts Certificate can be signed by another Approved Manager.